

2014 QUESTIONNAIRE FOR CANDIDATES FOR NEW YORK STATE LEGISLATURE

Citizens Union appreciates your response to the following questionnaire related to policy issues facing New York State and our interest in reforming state government. Please return the questionnaire to us as soon as possible, and no later than July 11th. Responses to these questions will be one of several factors Citizens Union uses to evaluate candidates running for office in order to determine our "Preferred Candidates" for the September 9th Primary Election, and our "Endorsed Candidates" for the November 4th General Election.

If you seek our support, we will also need to schedule an interview with you as part of the evaluation process. We will not automatically schedule an interview with you if you return this questionnaire, as we prioritize competitive races and resources constrain us from evaluating all races. Please contact us if you wish to be interviewed and have not heard from us.

| We plan to make responses to this questionnaire public on our website, Voters Directory and other appropriate venues. We thank you very much for your response. |
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| Candidate Name: Maureen Koetz Age: 57 |
| Campaign Address: 355 S. End Ave #30T NY NY 10280 |
| Campaign Telephone Number: (344) 951 - 2186 Fax: () |
| Party Affiliation(s): Rep. Campaign Manager Name: Wonne Xue |
| Website & Email: Koct 2014. com / Koct 2 & Koct 2014. com |
| Education: Post Graduate (Juris Doctor) |
| Occupation/Employer (or years in currently held elected office): Sustainability Consultant |
| Self amployed Previous Offices, Campaigns and Community/Civic Involvement: Acting Ass't Secretary |
| Previous Offices, Campaigns and Community/Civic involvement. |
| Installations, Environment, Logisted (USAF, Presidential appt to Senior Exec. Service) |
| Course US Senate ENK Comm., Lieutener US Nay Itto, VI Base my rener |
| Are you willing to be interviewed by CU's Local Candidates Committee? YES NO (Please note: Citizens Union can grant its "Endorsed Candidate" rating only to candidates we have interviewed.) |
| Have you completed requisite campaign finance filings? YES NO |
| Signature of Candidate: Maukeen & Kaets Date: 1/16/14 |
| Sitisans Union of the City of New York 4650C; Board of Dir, |
| 299 Broadway, Suite 700 New York, NY 10007-1976 |
| phone 212-227-0342 • fax 212-227-0345 • <u>www.citizensunion.org</u> Peter J.W. Sherwin, Chair • Dick Dadey, Executive Director |

CANDIDATE QUESTIONS

Please state your position on the following reform measures. Specify whether you support or oppose each. You may elaborate in the space provided at the end or on additional paper.

ELECTION REFORM

| LE | CTION REFORM | dir years wad sweath |
|----|--|----------------------|
| | November ballot that would create all independent commences and minority parties? | Support/Oppose |
| | What is your position on restructuring the state Board of Elections and changing and administration of elections by amending the constitution to abolish the strict two-party | Support/Oppose |
| - | division of governance and operation? What is your position on making it easier for candidates to appear on the ballot by lowering signature requirements and utilizing less burdensome witness signature requirements? | Support/Oppose |
| | What is your position on amending the Municipal Home Rule Law to limit the ability of a mayor-appointed charter revision commission to "bump" other local charter amendments | Support Oppose |
| | What is your position on automatically granting New Yorkers on parole the ability to reterior the currently those on parole may obtain a Certificate of Good Conduct or Relief to have their right to vote restored, but few of these are granted, and they cannot be applied for right to vote restored, but few of these are granted, and they cannot be applied for the conduct of the con | |
| | What is your position on amending the constitution to allow for Election Day Voter Registration? | Support/Oppose |
| | Registration? What is your position on amending the constitution to allow for no-excuse absentee voting | |
| 1. | What is your position on instituting early voting in New York State to allow voters to cast their votes at more convenient times over a period of several days? | Support/Oppose |
| 1. | What is your position on reforming the special election process, utilizing a nonpartison. | Support Oppose |
| 1. | What is your position regarding automatic voter registration in which voter registration is provided electronically to the information of individuals who consent to be registered is provided electronically to the information in which voter registration is undated automatically? | Support/Oppose |
| 1. | What is your position on consolidating the state and rederal primary to the time weeks. What is your position on consolidating the state and rederal primary to the time weeks. | Support/Oppos |
| 1 | Lituding Instant Plinott Volling liky I fol Dilling A ciccarotte | Support/Oppos |

CAMPAIGN FINANCE REFORM

| | What is your position on establishing a matching public financing system for state legislative and statewide races similar to the New York City model? Generally surport subject to "s | 10100 00 10000 |
|----|--|-------------------------------|
| 1. | candidates for public office? Agree 15 long as Public Arrivaries male available for use be | Support/Oppose Support/Oppose |
| 1. | What is your position on restricting campaign contributions from placement agents and those who do business with the state? | Support Oppose |

CAMPAIGN FINANCE REFORM (CONTINUED)

| MPAIGN FINANCE REFORM (CONTINUED) | |
|--|--|
| What is your position on limiting or banning campaign contributions from corporations, | THE CE |
| LLCs, and LLPs? (Note: such entities would have the constitutionally-protected right to form (| Support/Oppose |
| congrete political action committees 100 1+100 0055 (DCC | |
| What is your position on closing "soft money" loopholes such as limiting transfers from | |
| party committees to candidates or other committees to twice the limit set on individual | Support Oppose |
| - tributors and limiting contributions to party committees, including housekeeping | - ' |
| accounts to the same ceiling placed on candidates? | But: must ac |
| What is your position on requiring candidates to include the full name, home address, and | Support/Oppose |
| employer/business name for each contribution and bundler? | Support Oppose |
| What is your position on requiring that two periodic campaign finance reports be filed | Support/Oppose |
| during the legislative session to reflect contributions given during the session? | Support oppose |
| What is your position on stricter requirements on the use of campaign contributions for | Support Oppose |
| non-campaign related activity, such as personal use? | Support oppose |
| What is your position on improving disclosure of independent expenditures such as | Support/Oppose |
| requiring disclosure of electioneering activities? | Supportioppose |
| What is your position on limiting the ability of candidates to accumulate "war chests" and | Support Oppose |
| then transferring funds raised in one race to another in which they run? | Supportuations |
| What is your position on clarifying the definition of coordination between candidates and | |
| political committees to ensure candidate contribution limits are not exceeded, and | Support/Oppose |
| as and contributions are properly reported? | |
| Aconstituetos | |
| DICIAL REFORM | |
| What is your position on a merit-based appointment system through creation of a | Support/Oppose |
| commission for the selection of judges in all of New York's trial courts? | |
| What is your position simplifying and modernizing the state's court system by consolidating | Support/Oppose |
| the nine trial courts into a two-tiered system? | |
| often appeared | |
| : Il Allaman Canaral to investigate and prosecute | |
| What is your position on empowering the Attorney deficial to investigate and prosesses | Support/Oppose |
| cases involving public corruption, including serious election law manicastrice. | |
| What is your position on amending the State Constitution to extend pension for lettere, | Support Oppose |
| subject to due process sareguards, to an public emerce | Support oppose |
| convicted of felonies? | |
| JDGET REFORM | |
| What is your position on requiring the governor's budget submission to present the full | Support/Oppose |
| scope of the state's financial obligations, including its public authorities? | |
| What is your position on establishing an independent, nonpartisan budget office to provide | |
| revenue projections, display economic and policy analysis and require that the state engage | Support/Oppos |
| in long term, multi-year hudget planning? | |
| What is your position on the use of Generally Accepted Accounting Principles (GAAP) rather | Support/Oppos |
| than cash accounting for the state budget? | |
| What is your position on ensuring adequate disclosure and itemization of discretionary | Support/Oppos |
| funds, including member items and lump sum funds, as well as reporting on their usage? | Support Oppos |
| What is your position on incorporating the use of performance budgeting and outcome | Support/Oppos |
| measurement to promote the more rational appropriation of state funds? | Support/Oppos |
| What is your position on instituting a later start date for the state fiscal year? No view | Support/Oppos |
| | What is your position on limiting or banning campaign contributions from corporations, LLCs, and LLPs? (Note: such entities would have the constructionally-protected right to form (separate political action committees.) What is your position on closing "soft money" loopholes such as limiting transfers from party committees to candidates or other committees to twice the limit set on individual contributors and limiting contributions to party committees, including housekeeping accounts, to the same ceiling placed on candidates? What is your position on requiring candidates to include the full name, home address, and employer/business name for each contribution and bundler? What is your position on requiring that two periodic campaign finance reports be filed during the legislative session to reflect contributions given during the session? What is your position on stricter requirements on the use of campaign contributions for non-campaign related activity, such as personal use? What is your position on limiting the ability of candidates to accumulate "war chests" and then transferring funds raised in one race to another in which they run? What is your position on clarifying the definition of coordination between candidates and expenditures and contributions are properly reported? DICIAL REFORM What is your position on a merit-based appointment system through creation of a commission for the selection of judges in all of New York's trial courts? What is your position on memowering the Attorney General to investigate and prosecute cases involving public corruption, including serious election law malfeasance? What is your position on amending the State Constitution to extend pension forfeiture, subject to due process safeguards, to all public officers and legislators who have been convicted of felonies? What is your position on memowering the governor's budget submission to present the full scope of the state's financial obligations, including its public authorities? What is your position on the use of Gen |

| | What is your position on limiting the ability of the governor to enact policy changes governing the use of resources in appropriation bills by requiring that such bills be consistent with existing law or proposed changes in separate legislation? Use fisheral process; Executive branch must get legislative |
|-----------|--|
| AD. | DITIONAL REFORM |
| | What is your position on enactment of legislative rules reform to strengthen rank-and-file support/Oppose members' roles in the legislative process and create more equity between members? |
| 9h | What is your position on the webcasting of all legislative proceedings for both houses of the legislature, including committee meetings, hearings and session proceedings? |
| 事 中 村 野 藤 | What is your position on having the Executive Director of the Port Authority of New York and New Jersey appointed directly by the Board of Commissioners, and having the position of Chair of the Board rotated between representatives of New and New Jersey? |
| 0 | ease use the space provided or a separate sheet of paper to elaborate on your positions on the issues intained in the previous pages. You may also provide additional information on any actions that you we taken or plan to take to advance your positions on these issues. Leafren leform the proposal constitutional franchinent because it locks clarity is to how the proposal constitutional franchinent because it locks clarity is to how the proposal Commission whem bers would be selected. |
| | reform is mardatory voting. This shifts the barden of exercising individual critic responsibility back to the individual citizen where it belongs. Once cantidates no longer are charged erroneously with "Getting Out the Voke," issues can come to the fore and the reasons for needing so much |
| | money are reduced along with the temptations to |
| | This also alleviates the polarization that results when |
| | hard-core believers are mobilized more effectively and moderate |
| - | or independents are less inclined to participate |
| | Mandabry voting also makes our governance and the "Performa |
| | Results" one CV so rightly advocates an equally should responsib. |
| - | The processing of the contract |
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II. CAMPAIGN PROMISES MADE TO VOTERS (PAST AND CURRENT)

As a candidate who presently does not hold elected office, CU is interested in knowing your top five campaign promises you are making to the voters during this campaign for this position.

If elected, Citizens Union will use these promises to evaluate your performance while in office, as well as, to evaluate your candidacy in the future.

We thank you very much for your response. Please feel free to use additional paper if the space provided is not sufficient.

TOP FIVE 2014 CAMPAIGN PROMISES OR GOALS

| 1. | Bring transparency to inventories and appraisals of state owned assets to according prevent "Insider Trading" for development Eliminate 421a property tax exemptions for luxure development |
|----|--|
| 2. | Eliminate 421a property tax exemptions for luxure development. |
| 3. | Enforce Public Officer Law and Cosons of Ethics for conflict of interest |
| 4. | Use performance measures to improve activities and cut costs for Cublic Hullionies |
| 5. | Enforce Bubli Officer Law and Cosons of Ethics for conflict of interest Use performance measures to improve activities and cut costs for Public Huthorities Make Effective Public Enterprise Governance more important than "Polities" |

Please return to: Citizens Union, Local Candidates Committee
299 Broadway, Suite 700 New York, NY 10007
via fax to 212.227.0345 or via email to candidates@citizensunion.org
Call us at 212-227-0342 with any questions.

KOETZ@KOETZDUNCAN.COM

EXECUTIVE CONSULTANT CHIEF SUSTAINABILITY OFFICER

Senior Executive and thought leader with over 30 years of legal, legislative, managerial, and consultative experience in budgeting, supply, infrastructure, and natural capital management for government and private enterprise systems. Key success areas include:

- Sustainability-based Operations and Programming
- Data-Driven Natural Capital Management Analytics
- · Green Procurement Regulations and Policy
- Advanced Green Energy and Technology Support

PROFESSIONAL EXPERIENCE

KOETZ AND DUNCAN LLC, NEW YORK/WASHINGTON, DC

2006 TO PRESENT

Strategic advisor and capital asset management consultant for complex production and service systems reliant on significant infrastructure and energy components in enterprise design basis.

- Designed Natural Capital Asset Management™ Inventory and Comparison Study for District of Columbia Dept. of Public Works to map future solid material disposal system that meets Citywide sustainability goals
- Performed feasibility study and compiled pilot natural asset inventory for Department of Energy Asset Revitalization programming creating first Natural Capital Asset Management™ databases for use in program expansion, excess and surplus asset disposition, and stakeholder communications
- Developed SAGE Tags™ to bundle salable environmental attributes from current and advanced green energy technology designs for securitized financing options, operational return on investment, and market making
- Senior strategic advisor to advanced defense intelligence model design and development project; crafted asset security analytics to identify threats and destabilization generated by natural capital supply/access conflict
- Designed and performed a Sustainability Profile™ that green-branded the low-carbon fighter aircraft option (operations emissions) for environmental preferability in an EU national government procurement governed by FAR-comparable requirements
- Developed a FAR-based environmental preferability strategic plan for private equity firm competing in military procurements for logistic and maintenance services contracts and privatized asset acquisition
- Performed comprehensive asset sustainability study for major municipal water treatment system facing state agency requirements for \$200 million infrastructure upgrade

ACTING ASSISTANT SECRETARY, INSTALLATIONS, ENVIRONMENT AND LOGISTICS UNITED STATES AIR FORCE

2002 TO 2006

Chief Sustainability Officer for a \$200B global infrastructure and logistics portfolio comprised of 166 active installations on 10 million acres in the United States, territories, possessions, and foreign nations after initial appointment as Deputy Assistant Secretary for ESOH, and promotion to Principal Deputy Assistant Secretary in 2004; member Senior Executive Service.

Managed 125 executives and staff responsible for installation-related program and budgeting, including: oversight of real estate, natural capital, and logistics systems; multi-billion dollar annual program budget in capital construction, sustainment, modification and privatization;